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ad  
SP 1644  
Patent Application  
Docket No. SPO-103  
Serial No. 09/142,524

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David Saliwanchik

David R. Saliwanchik, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : M. Dibrino  
Art Unit : 1644  
Applicant(s) : Toshio Sone, Akinori Kume, Kazuo Dairiki, Akiko Iwama, Kohsuke Kino  
Serial No. : 09/142,524  
Filed : January 4, 1999  
For : Peptide-based Immunotherapeutic Agent For Treating Allergic Diseases

Assistant Commissioner for Patents  
Washington, D.C. 20231

#7

SUBMISSION OF SEQUENCE LISTING UNDER 1.821

Sir:

Transmitted herewith is a sequence listing under 37 CFR 1.821 through 1.825 for the above-identified patent application. A Communication from the Examiner and a Notice to Comply with Sequence Rules 37 CFR 1.821-1.825 were received from the Patent and Trademark Office, and copies of the Notices are enclosed herewith. Also enclosed is an amendment under 37 CFR §1.825(a) through (c).

The sequence is submitted in computer readable format and on paper. I hereby certify that the paper and computer readable copies contain the same information and that no new material is added by this submission.

Respectfully submitted,

David Saliwanchik

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DRS/la

Attachments: Sequence listing on paper; computer readable format containing the same information; copies of Notices from PTO; amendment under 37 CFR §1.825(a) through (c).

Application No.: 09/142,524

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s)

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

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